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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:  
Commissioner of Patents and Trademarks,  
Attn: Application Processing Division  
Special Processing and Correspondence Branch  
Washington, D.C. 20231, on Sept. 13, 1993

PATENT

Attorney Docket No. 14137-5-5

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DEC 2 1 1993  
APPLICATION DIVISION



Jo Ann Dallara

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

JAMES C. PAULSON, ET AL.

Serial No.: 08/063,181

Filed: May 14, 1993

For: INTERCELLULAR ADHESION  
MEDIATORS

)  
)  
) Examiner: Unassigned

)  
) Art Unit: Unassigned

)  
) TRANSMITTAL LETTER

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OCT 13 1993

Attn: Application Processing Division  
Special Processing and Correspondence Branch  
Commissioner of Patents and Trademarks  
Washington, D.C. 20231

APPLICATION DIVISION

Sir:

Pursuant to the "Notice to File Missing Parts of Application No Filing Date" dated June 15, 1993, and further to applicants' Petition and Preliminary Amendment sent to the U.S. PTO on July 21, 1993, in response to the Notice of Incomplete Application for which the filing date for this application of May 14, 1993, is sought, enclosed are the following to be made of record in the above-identified application:

- 1) Executed Combined Declaration and Power of Attorney;
- 2) Power of Attorney by Assignee and Certificate under 37 C.F.R. § 3.73(b) with copy of Assignment;
- 3) Verified Statement Claiming Small Entity Status;
- 4) Petition to Extend Time (1-month); and
- 5) Copy of Notice of Missing Parts and copy of Notice of Incomplete Application

Please charge the required small entity surcharge fee of \$65.00 to Deposit Account No. 20-1430. The Commissioner is hereby authorized to charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment to Deposit Account No. 20-1430 for this paper and during the prosecution of this application. This Transmittal Letter is submitted in triplicate.

TOWNSEND and TOWNSEND KHOURIE and CREW  
One Market Plaza  
Steuart Street Tower, 20th Floor  
San Francisco, California 94105  
(415) 543-9600

Respectfully submitted,  
  
Kevin L. Bastian  
Reg. No. 34,774



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

| APPLICATION NUMBER | RECEIPT DATE | FIRST NAMED APPLICANT | ATTY. DOCKET NO./TITLE |
|--------------------|--------------|-----------------------|------------------------|
| 097063,181         | 05/14/93     | FAULSON               | 1413755                |

TOWNSEND AND TOWNSEND  
KHOURIE AND CREW  
STEUART STREET TOWER  
ONE MARKET PLAZA  
SAN FRANCISCO, CA 94105

03B1/0615

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APPLICATION DIVISION

DATE MAILED:

06/15/93

**NOTICE TO FILE MISSING PARTS OF APPLICATION,  
NO FILING DATE**

(Enclosure to Form PTO-1123)

Required items 1-9 below SHOULD be filed, with any items required on the "Notice of Incomplete Application" enclosed with this form. The filing date of this application will be the date of receipt of the items required on the "Notice of Incomplete Application." If items 1 and 3-6 below are submitted after the filing date, THE PAYMENT OF A SURCHARGE OF \$ 125 large entities or \$ 62.5 for small entities who have filed a verified statement 37 CFR 1.27 claiming such status will also be required. (37 CFR 1.16(e)).

The total amount owed by applicant as a ☒ large entity ☐ small entity (verified statement filed) is \$ 0.

1. ☐ The statutory basic filing fee is: ☐ missing ☐ insufficient. Applicant as a ☐ large entity ☐ small entity must submit \$ \_\_\_\_\_ to complete the basic filing fee.

2. ☐ Additional claim fees of \$ \_\_\_\_\_ as a ☐ large entity, ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

3. ☐ The oath or declaration:

☐ is missing.

☐ does not cover items required on "Notice of Incomplete Application."

An oath or declaration in compliance with 37 CFR 1.63, referring to the above Application Number and Receipt Date, is required.

4. ☐ The oath or declaration does not identify the application to which it applies. An oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Receipt Date, is required.

5. ☒ The signature to the oath or declaration is: ☒ missing; ☐ a reproduction; ☐ by a person other than the inventor or a person qualified under 37 CFR 1.42, 1.43, or 1.47. A properly signed oath or declaration in compliance with 37 CFR 1.63, referring to the above Application Number and Receipt Date, is required.

6. ☐ The signature of the following joint inventor(s) is missing from the oath or declaration: \_\_\_\_\_ An oath or declaration signed by the omitted inventor(s), identifying this application by the above Application Number and Receipt Date, is required.

7. ☐ A \$ \_\_\_\_\_ processing fee is required for returned checks. (37 CFR 1.21(m)).

8. ☐ The application does not comply with the Sequence Rules. See attached Notice To Comply with Sequence Rules 37 CFR 1.821-1.825.

9. ☐ Other:

Direct the response and any questions about this notice to, Attention: Application Processing Division, Special Processing and Correspondence Branch.

**A copy of this notice MUST be returned with the response.**

Application Processing Division  
(702) 308-1202

SC13645 10/12/93 0006307